

# Letter of Authorization to Journal Funds or Securities Between Accounts

Date: \_\_\_\_\_

**I.** I hereby authorize the journal of funds and/or securities

**From:** Account Number \_\_\_\_\_

Account Name \_\_\_\_\_

**To:** Account Number \_\_\_\_\_

Account Name \_\_\_\_\_

**II.** Amount of funds to journal: \_\_\_\_\_

*OR*

Quantity and Description of Securities to Journal:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**III.** Reason for the above Journal/Special Instructions:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Signed: \_\_\_\_\_

Signed: \_\_\_\_\_

Print Name: \_\_\_\_\_

Print Name: \_\_\_\_\_

Title: \_\_\_\_\_

Title: \_\_\_\_\_

If more than two signatures are required, please complete additional forms as necessary.

I/We agree to hold all parties on this request, including Regal Discount Securities and Penson Financial Services, Inc., and their respective agents and employees (hereinafter, collectively, "the parties") harmless from any and all claims, demands, proceedings, suits and actions and all liabilities, losses, and expenses including without limitation those asserted by me, associated with action taken by the parties due to instructions received from me in this request.

## Notary:

Sworn to and subscribed before me this \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_\_.

\_\_\_\_\_

Notary Public

My commission expires \_\_\_\_\_.

Approved by: \_\_\_\_\_ Principal